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JAN 27 2020

EPIQ

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Vall Banc SA

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Fernando López Safont  
Avinguda Carlemany 119, Escaldes Engordany  
AD700, Andorra, Europe

Phone: +376 750790

Last Four Digits of Acct #: -

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: -

Last Four Digits of Acct #: -

Credit Suisse (Shweiz) AG

Name of Transferor

Court Claim # (if known): 5055829

Date Claim Filed: October 29, 2009

Amount of Claim: See Evidence of Transfer of Claim

Portion of Claim Transferred (see  
Schedule I): -

Phone: 0041 44 332 86 11

Last Four Digits of Acct. #: -

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]

Transferee/Transferee's Agent

Date: 30/12/2019

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

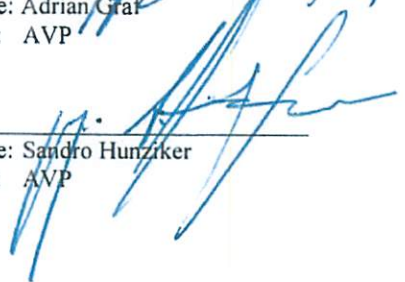
For value received, the adequacy and sufficiency of which are hereby acknowledged **Credit Suisse (Schweiz) AG** ("Transferor") unconditionally and irrevocably transferred to **Vall Banc SA** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 5055829** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **December 30, 2019**.

**Credit Suisse (Schweiz) AG**

By:   
Name: Adrian Graf  
Title: AVP

By:   
Name: Sandro Hunziker  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0229269856	5055829	October 29, 2009	LEHMAN BROTHERS UK CAPITAL FUNDING II LP	EUR 26'933'000
XS0282978666	5055829	October 29, 2009	LEHMAN BROTHERS UK CAPITAL FUNDING IV LP	EUR 13'943'707

ORIGIN ID:XJBT8 00376364333	
VALBANC	
MARIA YANED JIMENEZ REYES	
AVDA. SALORIA, 5, 4-2	
LA SEU D URGELL, 25700	
SPAIN, ES	
TO LEHMAN BROTHERS HOLDINGS INC	
EPIQ BANKRUPTCY SOLUTIONS LLC	
757 THIRD AVENUE 3rd FLOOR	
NEW YORK, NY 10017	
(US)	
AWB	
NM OCGSA	
PKG:ENV	
TRK# 7775 7383 5782	
Form 0430	
INTL PRIORITY	
REF:	
DESC1: Correspondence/No Commercial Value	
DESC2:	
DESC3:	
DESC4:	
COUNTRY MFG: ES	
CARRIAGE VALUE: 0.00 EUR	
CUSTOMS VALUE: 0.00 EUR	
SIGN: VALBANC	
T/C: S 318321191	
D/T: R	

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